



2814

PATENT APPLICATION  
Docket No. 9898-217  
Client No. SS-16232-US-RCE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Jae-Hyun Joo and Wan-Don Kim

Serial No. 10/055,270 Group Art Unit: 2814

Filed: January 22, 2002 Examiner: Mai, Anh D.

For: METHOD FOR MANUFACTURING A SEMICONDUCTOR  
DEVICE HAVING A METAL-INSULATOR CAPACITOR

Confirmation No. 6757

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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**STATEMENT ON FILING INFORMATION DISCLOSURE STATEMENT**  
(37 CFR 1.97(e))

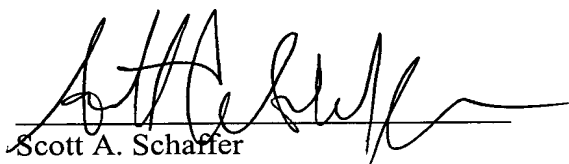
I, Scott A. Schaffer, the person signing below state that:

each item of information contained in the Information Disclosure Statement submitted herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement

Applicant respectfully requests consideration of the accompanying Information Disclosure Statement.

The person making this Statement is the attorney who signs below on the basis of the information in the attorney's file.

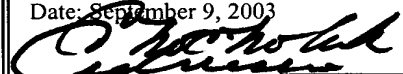
Respectfully submitted,  
MARGER JOHNSON & McCOLLOM, P.C.

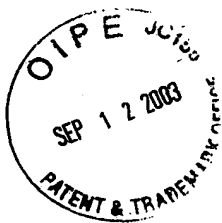
  
\_\_\_\_\_  
Scott A. Schaffer  
Reg. No. 38,610

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1030 SW Morrison Street  
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Date: September 9, 2003

  
\_\_\_\_\_  
Adrienne Chocholak



PATENT APPLICATION  
DOCKET NO. 9898-217  
CLIENT NO. SS-16232-US-RCE

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Jae-Hyun Jo and Wan-Don Kim Confirmation N . 6757  
Serial No.: 10/055,270 Examiner: Mai, Anh D.  
Filing Date: January 22, 2002 Group Art Unit: 2814  
Title: METHOD FOR MANUFACTURING A SEMICONDUCTOR DEVICE HAVING A  
METAL-INSULATOR CAPACITOR

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application;  
or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97 (c) together with either a:  
☒ Statement under 37 CFR 1.97 (e), or  
☐ a \$180 fee under 37 CFR 1.17 (p); or  
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:  
☐ Statement under 37 CFR 1.97 (e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of

the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with  
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)  
may be material to the examination of this application and for which there may be a duty to disclose in accordance  
with 37 CFR 1.56.

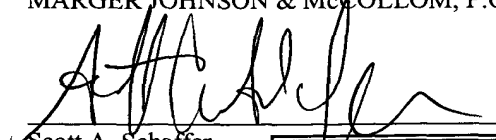
It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A  
duplicate copy of this sheet is enclosed.

Customer No. 20575

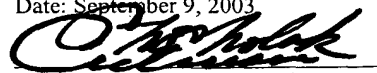
Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

  
Scott A. Schaffer  
Reg. No. 38,610

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Adrienne Chocholak

PATENT APPLICATION

Atty. Docket No.: 9898-217 Serial No. 10/055,270  
Applicant: Jae-Hyun Joo and Wan-Don Kim  
Filing Date: January 22, 2002 Group: 2814

INFORMATION DISCLOSURE CITATION  
FORM PTO-1449 (Modified)

U.S. PATENT DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Document</u> <u>Number</u>	<u>Issue</u> <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub</u> <u>Class</u>
_____	_____					

FOREIGN PATENT DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Document</u> <u>Number</u>	<u>Publication</u> <u>Date</u>	<u>Country</u>	<u>Name</u>
_____	_____	JP9102292	04/15/1997	Japan	Shin ETSU Chem. Co., Ltd.

OTHER DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Author, Title, Date, Pertinent Pages, Etc.)</u>
_____	_____	English Language Abstract of Japanese Patent No. JP9102292, filed 04/15/1997

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_